



PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FREE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1700.00**Complete if Known**

| | |
|----------------------|-----------------|
| Application Number | 09/980466 |
| Filing Date | 11/30/2001 |
| First Named Inventor | BAMBERG, et al. |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | P00619-US |

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

| | |
|------------------------|--------------------------|
| Deposit Account Number | 02-0900 |
| Deposit Account Name | Barlow, Josephs & Holmes |

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----|----------------------------|----------------------------|------------------------|----------|
| 101 | 740 | 201 370 | Utility filing fee | |
| 106 | 330 | 206 165 | Design filing fee | |
| 107 | 510 | 207 255 | Plant filing fee | |
| 108 | 740 | 208 370 | Reissue filing fee | |
| 114 | 160 | 214 80 | Provisional filing fee | |

SUBTOTAL (1) (\$)**2. EXTRA CLAIM FEES**

| | Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|--------------|----------------|----------|
| Independent Claims | | -20** = | X | |
| Multiple Dependent | | -3** = | X | |

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|-----|----------------------------|----------------------------|--|
| 103 | 18 | 203 9 | Claims in excess of 20 |
| 102 | 84 | 202 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 42 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----|----------------------------|----------------------------|--|----------|
| 105 | 130 | 205 65 | Surcharge - late filing fee or oath | 130.00 |
| 127 | 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 130 | Non-English specification | |
| 147 | 2,520 | 147 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 55 | Extension for reply within first month | |
| 116 | 400 | 216 200 | Extension for reply within second month | |
| 117 | 920 | 217 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 720 | Extension for reply within fourth month | 1440.00 |
| 128 | 1,960 | 228 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 160 | Notice of Appeal | |
| 120 | 320 | 220 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 140 | Request for oral hearing | |
| 138 | 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 230 | Design issue fee | |
| 144 | 620 | 244 310 | Plant issue fee | |
| 122 | 130 | 122 130 | Petitions to the Commissioner | 130.00 |
| 123 | 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 | 279 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1700.00**SUBMITTED BY**

Complete (if applicable)

| | | | | | |
|-------------------|---------------------------|-----------------------------------|------------|-----------|-------------|
| Name (Print/Type) | Daniel W. Sullivan | Registration No. (Attorney/Agent) | 34937 | Telephone | 401-273-446 |
| Signature | <i>Daniel W. Sullivan</i> | Date | 07/29/2002 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



CERTIFICATE OF MAILING

This office hereby certify that this correspondence is being deposited with the U.S. Express Mail service in a prepaid envelope addressed to: Assistant Commissioner of Patents, Washington, DC 20231, this 29th day of July, 2002.

Certifier

Jennifer Voll

**PATENT
ATTY DOCKET NO. P00619-US**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

BAMBERG ET AL.

CONFIRMATION NO.: 1393

SERIAL NO.: 09/980,466

GROUP ART UNIT: TO BE
ASSIGNED

FILED: NOVEMBER 30, 2001

EXAMINER: TO BE ASSIGNED

FOR: INK-JET TRANSFER SYSTEMS FOR
DARK TEXTILE SUBSTRATES

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35
U.S.C. §371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE
(DO/EO/US).**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. §371 in the United States Designated/Elected Office (DO/EO/US) having a mailing date of January 31, 2002, a copy of which is enclosed, the following items are being filed

herewith:

08/08/2002 LLANDGRA 00000072 020900 09980466

01 FC:118 1440.00 CH
02 FC:154 130.00 CH

- 1) A Petition To File Application Under 37 CFR §1.47(b) and attached Exhibits,
- 2) A Declaration signed by a registered attorney on behalf of the 37 CFR §1.47(b) Applicant (Arkwright, Inc.),
- 3) Documentation Of Ownership In Arkwright, Inc. and attached Exhibits, and
- 4) A Four (4) Month Petition for Extension of Time.

In view of the foregoing, it is believed that the above-identified application meets all requirements under 35 U.S.C. §371. Accordingly, it is respectfully requested that the application be accepted and a filing date be assigned to the application.

The Commissioner is hereby authorized to charge any additional required fee in connection with the filing of this paper or credit any overpayment to Deposit Account 02-0900.

Should there be any outstanding matter that needs to be resolved in the present application, the Examiner is respectfully requested to contact the undersigned at the telephone number listed below.

Respectfully submitted,

Daniel W. Sullivan

Daniel W. Sullivan
Registration No. 34,937
BARLOW, JOSEPHS & HOLMES, LTD.
101 Dyer Street, 5th Floor
Providence, RI 02903
Tel: 401-273-4446
Fax: 401-273-4447

Date *July 29, 2002*



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

| | | |
|---|--------------------------------------|-------------------------------|
| U.S. APPLICATION NUMBER NO. 09/980,466 | FIRST NAMED APPLICANT Ulf Bamberg | ATTY. DOCKET NO. P00619-US |
|---|--------------------------------------|-------------------------------|

| | |
|---|---------------|
| INTERNATIONAL APPLICATION NO. PCT/IB99/00976 | |
| IA. FILING DATE 06/01/1999 | PRIORITY DATE |

David R Josephs
Barlow Josephs & Holmes
101 Dyer Street
5th Floor
Providence, RI 02903

COPY

CONFIRMATION NO. 1393
371 FORMALITIES LETTER
OC00000007379559

Date Mailed: 01/31/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- English Translation of the IA
- Oath or Declaration
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - Is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed

to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN R MCLEAN

Telephone: (703) 308-9117

PART 2 - OFFICE COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/980,466 | PCT/IB99/00976 | P00619-US |